



**Equalities Policy (Exams)**

2024/25

This policy is reviewed annually to ensure compliance with current regulations

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| --- |
| Approved/reviewed by |
|  |
| Date of next review |  |

Key staff involved in the policy

|  |  |
| --- | --- |
| **Role** | **Name(s)****Equalities policy (exams) template****Delete this text box when the information contained below is understood**(This document was previously named Disability Policy) **Changes made to the contents of this template since the previous (2019/20) version are highlighted for easy identification.**  This template is provided as an example **only** and is designed to provide you with a starting point/framework on which to build a equalities policy for exams. Quotes from JCQ publications are provided to support the understanding of a particular point. The general intention is that these quotes will be mainly removed before the policy is published, unless the centre has a particular desire to leave them in.A table to record centre staff (job role and name) has been inserted at the beginning of the template. You may choose to delete this or use it as good practice by inserting names against roles identified in the policy (some example roles have been provided). **Identifying the need for access arrangements**Under this heading, consider roles, responsibilities and processes in:* understanding and interpreting the regulations
* undertaking assessments
* administering the assessment process
* providing/gathering evidence

**Requesting access arrangements**Under this heading, consider roles, responsibilities and processes in:* the access arrangements application for approval process
* the centre-delegated access arrangements approval process

**Implementing access arrangements and the conduct of exams**Under this heading, consider roles, responsibilities and processes in:* involving candidates in decision making; adapting information for candidates
* ensuring appropriate arrangements are in place
* training facilitators and invigilators
* adapting rooming and seating arrangements; provision/adaptation of IT or other specialist equipment
* adaptation of exam rooms and non-specialist exam room equipment
* emergency evacuation procedures
* emergency access arrangements

**Facilitating access - examples**This table is provided as an example of how the good practice in your centre could be demonstrated. Consider the arrangements that may regularly need to be put in place in your centre to facilitate access to exams for disabled candidates.Consider the actions that need to be/are taken from the point that the need(s) of the candidate are identified to the time of his/her exam(s). Work through real experiences relating to the needs of individual candidates in your centre and record the actions that are required/taken by staff role. As illustration **only**, a few examples of candidate needs are provided.A fully worked example is provided in row 1 to demonstrate how the table could perhaps be completed. Further rows show **additional** example actions that may need to be considered in the scenarios provided.**Important points to note*** As centres will have many different ways of working, and centre staff have varying roles and responsibilities, it is essential that the contents of the policy are closely checked to see if they mirror ways of working and staff roles and responsibilities within your centre
* Where different, your customised version of the policy can be easily edited accordingly by
* deleting information that is not relevant to your centre
* amending information where a process runs differently in your centre
* adding information that you consider should be included in your policy.
* The roles, responsibilities and processes identified in this template are not exhaustive
 |
| SENCo | **P Gardiner** |
| Senior leader(s) | **L Cassels, T Hughes, J Watkinson, S Reilly** |
| Head of centre | **V Whitcombe** |
| Assessor(s) | **2024-2025 Kirsty Richards** |
| Access arrangement facilitator(s) | **P Gardiner, P Cleary, J Jacobs** |

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**Table of contents**

**Delete this text box when the information contained below is understood**

The contents page has been produced by inserting a table of contents. The bold headings in the template have been formatted in ‘heading style’ so that they appear in the automated contents page. If you make changes to any of these headings, delete them, add extra pages to the document or remove pages, your table of contents should be updated.

To do this, go to the beginning of the contents page and click on the word **Contents**– the tab below appears and should be selected.

  

If you wish to remove the automated table of contents – in the Reference menu, click on the drop down and select *Remove Table of Contents. You can then create your own contents page.*

Purpose of the policy

Implementing access arrangements and the conduct of exams

**Delete this text box when the information contained here is understood**

Under this heading, consider roles and responsibilitiesin pre-planning and conducting exams and assessments

* Consider where there may be different roles and responsibilities for external assessments, internal assessments and internal exams
* Consider how
* Ensuring exam information (JCQ notices, exam timetables etc.) are provided in an accessible format
* Allocating staff as facilitators and ensuring they are trained

ADD additional roles as may be involved in your centre’s processes

This document is provided as an exams-specific supplement to the centre-wide equalities and/or disability/accessibility policy/plan which details how the centre will:

* recognise its duties towards disabled candidates, including private candidates, as defined under the terms of the Equality Act 2010†. This must include a duty to explore and provide access to suitable courses, through the access arrangements process submit applications for reasonable adjustments and make reasonable adjustments to the service the centre provides to disabled candidates; †or any legislation in a relevant jurisdiction other than England and Wales which has an equivalent purpose and effect

JCQ’s General Regulations for Approved Centres (section 5.4)

This publication is further referred to in this policy as [GR](http://www.jcq.org.uk/exams-office/general-regulations).

This policy details how the centre facilitates access to exams and assessments for disabled candidates, as defined under the terms of the Equality Act 2010, by outlining staff roles and responsibilities in relation to:

* identifying the need for appropriate arrangements, reasonable adjustments and/or adaptations (referred to in this policy as ‘access arrangements’)
* requesting access arrangements
* implementing access arrangements and the conduct of exams
* good practice in relation to the Equality Act 2010

The Equality Act 2010 definition of disability

A definition is provided in the JCQ publication *Adjustments for candidates with disabilities and learning difficulties* Access Arrangements and Reasonable Adjustments 2024-2025 (**Definitions** section).

This publication is further referred to in this policy as [AA](http://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance).

Identifying the need for access arrangements

Roles and responsibilities

**Head of centre**

* Is familiar with the entire contents, refers to and directs relevant centre staff to the annually updated JCQ publications including [GR](http://www.jcq.org.uk/exams-office/general-regulations) and [AA](http://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance)
* Ensures a policy demonstrating the centre’s compliance with relevant legislation is in place

**Senior leader(s)**

* Are familiar with the entire contents of the annually updated JCQ publications including [GR](http://www.jcq.org.uk/exams-office/general-regulations) and [AA](http://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance)
* Support the SENCo in determining the need for and implementing access arrangements

**Special educational needs coordinator (SENCo) or equivalent**

* Has full knowledge and understanding of the contents, refers to and directs relevant centre staff to the annually updated JCQ publication [AA](http://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance)
* Ensures an appropriately qualified assessor(s) is appointed, evidence of the assessor’s qualification(s) is obtained before he/she assesses candidates and that evidence of the qualification(s) of the person(s) appointed is held on file
* Leads on the access arrangements process to facilitate access for candidates
* Defines and documents roles, responsibilities and processes in identifying, requesting and implementing access arrangements (*Access arrangements policy*)
* Provides a policy on the use of word processors in exams and assessments
* Ensures the qualified assessor(s) has access to the assessment objectives for the relevant specification(s) a candidate is undertaking
* Ensures that all assessments carried out and arrangements put in place comply with JCQ and awarding body regulations and guidance
* Ensures arrangements put in place for exams/assessments reflect a candidate’s *normal way of working* within the centre
* Ensures the need for access arrangements for a candidate is considered on a subject by subject basis
* Works with teaching staff, relevant support staff and the exams officer to ensure centre-delegated and awarding body approved access arrangements are put in place for candidates taking internal and external exams/assessments
* Conducts appropriate assessments to identify the need(s) of a candidate
* If not the appropriately qualified assessor, works with the person/persons appointed, on all matters relating to assessing candidates and the administration of the assessment process
* Ensures the quality of the access arrangements process within the centre
* Ensures staff roles, responsibilities and processes in identifying, requesting and implementing access arrangements for candidates (including private candidates) are clearly defined and documented
* Produces and annually reviews/updates a word processor policy, specific to the centre, which details the criteria the centre uses to award and allocate word processors for examinations and assessments
* Ensures the assessment process is administered in accordance with the regulations and that the correct procedures are followed as per Chapter 7 of [AA](http://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance)
* Presents when requested by a JCQ Centre Inspector, evidence of the assessor’s qualification

**Teaching staff**

* Inform the ALS lead/SENCo of any observations about a candidate or any support that might be needed by a candidate
* Provides appropriate evidence to confirm the need(s) of a candidate
* Provide information to evidence the normal way of working of a candidate

**Support staff** (for example, Learning Support Assistants, Teaching Assistants and Communication Support Workers)

* (where appropriate) Provide comments/observations to support the ALS lead/SENCo to ‘paint a holistic picture of need’, confirming normal way of working for a candidate

**Assessor of candidates with learning difficulties**

(An assessor of candidates with learning difficulties will be an appropriately qualified access arrangements assessor/psychologist/specialist assessor)

* Has detailed understanding of the JCQ publication [AA](http://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance)

Use of word processors

|  |
| --- |
| The Policy can be found in the Z:Drive/Policies and is listed on the school’s website.The ‘normal way of working’ for exam candidates, as directed by the head of centre, is that candidates handwrite their exams. An exception to this is where a candidate may have an approved access arrangement in place, for example the use of a scribe/speech recognition technology. **Awarding word processors**There are also exceptions where a candidate may be **awarded** the use of a word processor in exams where he/she has a firmly established need, it reflects the candidate’s normal way of working and by not being awarded a word processor would be at a substantial disadvantage to other candidates.Exceptions might include where a candidate has, for example:* a learning difficulty which has a substantial and long term adverse effect on their ability to write legibly and is confirmed by a qualified Examinations Access Arrangements Assessor
* a medical condition
* a physical disability
* a sensory impairment
* planning and organisational problems when writing by hand which have been confirmed by a qualified Examinations Access Arrangements Assessor
* poor handwriting which renders the effective reading and marking of the candidates work impossible

**Allocating word processors**Appropriate exam-compliant word processors will be **allocated** by the IT department in liaison with the SENCo and the exams officer, following the criteria and provisions set out in the above named policies for Examinations.  |

Requesting access arrangements

Roles and responsibilities

**SENCo**

* Determines if the arrangements identified for a candidate require prior approval from the awarding body before the arrangements are put in place or if approval is centre-delegated
* Ensures where form 8 is required to be completed, the form is signed (a handwritten, electronic or typed signature is acceptable) and dated as required **prior** to approval being sought and that the form is provided for processing and inspection purposes (This may be a hard copy paper version or an electronic version)
* Ensures the names of all other assessors, who are assessing candidates studying qualifications covered by AAO are entered into AAO to confirm their status including any professionals working outside the centre

**Exams officer (EO)**

* Is familiar with the entire contents of the annually updated JCQ publication [GR](http://www.jcq.org.uk/exams-office/general-regulations) and is aware of information contained in [AA](http://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance) where this may be relevant to the EO role
* Follows guidance in [AA](http://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration) (chapter 8) to process approval applications for access arrangements for those qualifications included
* Applies for approval where this is required, through Access arrangements online(AAO), or through the awarding body where qualifications sit outside the scope of AAO
* Ensures appropriate and required evidence is held on file to confirm validation responses in AAO including (where required) the completion of relevant JCQ forms, such as Form 8 (Application for access arrangements – Profile of learning difficulties), Form 9 (Profile of need), Form 8RF, etc. supplemented by written statements, where required, etc.
* Confirms by ticking the *‘Confirmation’* box prior to submitting the application for approval that the *‘malpractice consequence statement’* has been read and accepted
* Makes an *awarding body referral* through AAO where the initial application for approval may not be approved by AAO, where it is deemed by the centre that the candidate does meet the criteria for the arrangement(s)
* Ensures that arrangements, and approval where required, are in place before a candidate takes his/her first exam or assessment (which is externally assessed or internally assessed/externally moderated)
* Ensures that where approval is required that this is processed at the start of the course and at the latest by the awarding body deadline

Maintains a file/e-folder for each candidate (the required documentation for a candidate will either be all in hard copy within the candidate’s file **or** all in electronic format within the candidate’s e-folder) that will include:

* + completed JCQ/awarding body application forms and evidence forms
	+ appropriate evidence to support the need for the arrangement where required
	+ appropriate evidence to support normal way of working within the centre
	+ in addition, for those qualifications covered by AAO (where approval is required), a print out/PDF of the AAO approval and a signed candidate personal data consent form (which provides candidate consent to their personal details being shared)
* Presents the files/e-folders when requested by a JCQ Centre Inspector and addresses any queries/questions raised
* If the SENCo is unavailable, presents the files/e-folders of access arrangements candidates when requested by a JCQ Centre Inspector and addresses any queries/questions raised
* Liaises with teaching staff regarding any appropriate modified paper requirements for candidates
* Following the appropriate process (using AAO for those qualifications included in the tool; using Form VQ/EA), orders published modified papers, by the awarding body’s deadline for the exam series, where these may be required for a candidate

Implementing access arrangements and the conduct of exams

Roles and responsibilities

### External assessments

These are assessments which are normally set and marked/examined by an awarding body which must be conducted according to awarding body instructions and/or the JCQ publication [Instructions for conducting examinations](http://www.jcq.org.uk/exams-office/ice---instructions-for-conducting-examinations) (ICE).

**Head of centre**

* Supports the SENCo, the exams officer and other relevant centre staff in ensuring appropriate arrangements, adjustments and adaptations are in place to facilitate access for disabled candidates to exams
* Senior staff responsible for the centre’s **emergency evacuation procedures** and the arrangements that may need to be in place for a candidate with a disability who may need assistance when an exam room is evacuated

**ALS lead/SENCo**

* Ensures appropriate arrangements, adjustments and adaptations are in place to facilitate access for candidates where they are disabled within the meaning of the Equality Act (unless a temporary emergency arrangement is required at the time of an exam)
* Ensures a candidate is involved in any decisions about arrangements, adjustments and/or adaptations that may be put in place for him/her and ensures the candidate understands what will happen at exam time
* Monitors, in internal tests/mock exams, the use of arrangements granted to a candidate and where a candidate has never made use of the arrangement, may consider withdrawing the arrangement, provided the candidate will not be placed at a substantial disadvantage
* Appoints appropriate centre staff as facilitators to support candidates (practical assistant, prompter, Language Modifier, reader, scribe or Communication Professional)
* Ensures facilitators supporting candidates are appropriately trained and understand the rules of the particular access arrangement(s)
* Ensures a record of the content of training given to those facilitating an access arrangement for a candidate under examination conditions is kept and retained on file until the deadline for reviews of marking has passed or until any appeal, malpractice or other results enquiry has been completed, whichever is later

**Exams officer**

* Understands and follows instructions for Invigilation arrangements for candidates with access arrangements and Access arrangements in [ICE](http://www.jcq.org.uk/exams-office/ice---instructions-for-conducting-examinations) 2024-2025
* Is familiar with the instructions for Invigilation arrangements for candidates with access arrangements and Access arrangements in [ICE](http://www.jcq.org.uk/exams-office/ice---instructions-for-conducting-examinations) 2024-2025
* Ensures exam information (JCQ information for candidates documents, individual exam timetable etc.) is adapted where this may be required for a disabled candidate to access it
* Ensures that prior to any arrangements being put in place checks are made that arrangements do not impact on any assessment criteria/competence standards being tested
* Ensures that any arrangements put in place do not unfairly disadvantage or advantage disabled candidates
* Liaises with other relevant centre staff regarding the provision of appropriate rooming and equipment that may be required to facilitate access for disabled candidates to exams
* Ensures where the candidate’s own subject teacher will be used (in exceptional circumstances) as a facilitator, an invigilator will be present at all times
* Ensures where a facilitator is allocated to support a candidate under exam conditions that they will not be a relative, friend, peer or private tutor of the candidate
* Ensures invigilators supervising access arrangement candidates are trained in their role and understand the invigilation arrangements required for access arrangement candidates and the role of any facilitator
* Ensures cover sheets, where these are required by the arrangement are completed as required by facilitators
* Liaises with the SENCo and other relevant centre staff to ensure appropriate arrangements, adjustments and adaptations are in place to facilitate access for disabled candidates to exams
* Liaises with the SENCo to ensure exam information (JCQ information for candidates documents, individual exam timetable etc.) is adapted where this may be required for a disabled candidate to access it
* Liaises with the SENCo regarding the facilitation and invigilation of access arrangement candidates
* Liaises with the SENCo regarding rooming of access arrangement candidates
* Ensures appropriate seating arrangements are in place where different arrangements may need to be made for a candidate to facilitate access to his/her exams
* Ensures candidates with access arrangements are identified on exam room seating plans and invigilators are made aware of the arrangements awarded and invigilators informed of those candidates with access arrangements and made aware of the access arrangement(s) awarded
* Ensures invigilators are briefed prior to each exam session of the arrangements in place for a disabled candidate in their exam room
* Checks in advance of dated exams/assessments that modified paper orders have arrived (and if not will contact the awarding body to ensure that papers are available when required)
* Makes modifications that are permitted by the centre (a question paper copied onto coloured paper, an A4 to A3 enlarged paper or a paper printed on single sheets or where a question paper may need to be scanned into PDF format where a candidate is approved the use of a computer reader) that may be required and either accesses a non-interactive electronic (PDF) question paper or opens the exam question paper packet in the secure room no earlier than 90 minutes prior to the awarding body’s published start time of the exam
* Understands that where permitted/approved, secure exam question paper packets may need to be opened early so the facilitator (Language Modifier, Live Speaker, Communication Professional **only**) may have access to the question paper 60 minutes prior to the awarding body’s published starting time for the exam in order to prepare
* Provides cover sheets prior to the start of an exam where required for particular access arrangements and ensures that these have been fully completed before candidates’ scripts are dispatched to examiners/markers
	+ prints pre-populated cover sheets from AAO where this is required for particular arrangements
* Has a process in place to deal with emergency (temporary) access arrangements as they arise at the time of exams in terms of rooming and invigilation
* Liaises with the SENCo where a facilitator may be required to support a candidate requiring an emergency (temporary) access arrangement at the time of exams
* Where required for emergency (temporary) access arrangements, applies for approval through AAO or through the awarding body where any qualifications sit outside the scope of AAO

**Other relevant centre staff**

* Support the SENCo and the exams officer to ensure appropriate arrangements, adjustments and adaptations are in place to facilitate access for disabled candidates to exams
* Staff responsible for **IT or other specialist equipment** that may need to be provided or adapted for a candidate

### Internal assessments

These are non-examination assessments (NEA) which are normally set by a centre/awarding body, marked and internally moderated by the centre and externally moderated by the awarding body.

However, ‘NEA’ is not limited to internal assessment as externally marked and/or externally set practical examinations taken at different times across centres are also classified as ‘NEA’

**SENCo**

* Liaises with teaching staff to implement appropriate access arrangements for candidates
* Ensures candidates are aware of the access arrangements that are in place for their assessments
* Ensures a candidate has had appropriate opportunities to practise using the access arrangement(s) before their first examination
* Ensures facilitators supporting candidates are appropriately trained and understand the rules of the particular access arrangement(s)

**Teaching staff**

* Support the SENCo in implementing appropriate access arrangements for candidates
* Ensures cover sheets are completed as required by facilitators

**Exams officer**

* Ensures centre-delegated and awarding body approved arrangements are in place prior to a candidate taking his/her first formal supervised assessment
* Liaises with the teacher where a facilitator may be required to support a candidate requiring an emergency (temporary) access arrangement at the time of his/her formal supervised assessment
* Provide the SENCo with assessment schedules to ensure arrangements are put in place when required
* Liaise with the SENCo regarding assessment materials that may need to be modified for a candidate

**Internal exams/assessments**

These are exams or tests which are set and marked within the centre; normally a pre-cursor to external assessments.

**SENCo**

* Liaises with teaching staff to implement appropriate access arrangements for candidates

**Teaching staff**

* Support the SENCo in implementing appropriate access arrangements for candidates

**Exams officer**

* Provide exam materials that may need to be modified for a candidate
* Provide the SENCo with internal exam timetable to ensure arrangements are put in place when required

Facilitating access - examples

**Facilitating access - examples**

**Delete this text box when the information contained below is understood**

Where relevant consider including a worked example for a private candidate who may be accepted by your centre to take exams and is disabled within the meaning of the Equality Act. Determine the centre actions and who will undertake them to confirm arrangements can be put in place based on evidence that may not have been provided by the centre.

Perhaps also include a worked example of a scenario that may not come to light until the last minute, for example a candidate suffering an anxiety attack when about to take an exam.

The following information confirms the centre’s good practice in relation to the Equality Act 2010 and the conduct of examinations.

On a candidate by candidate basis, consideration is given to

* adapting assessment arrangements
* adapting assessment materials
* the provision of specialist equipment or adaptation of standard equipment
* adaptation of the physical environment for access purposes

The table provides example arrangements, adjustments and adaptations that are considered to meet the need(s) of a candidate and the actions considered/taken by the centre for the purposes of facilitating access.

|  |  |  |
| --- | --- | --- |
| Example of candidate need(s) | Arrangements explored | Centre actions  |
| A medical condition which prevents the candidate from taking exams in the centre | Alternative site for the conduct of examinations Supervised rest breaks | *SENCo gathers evidence to support the need for the candidate to take exams at home**Achievement leader provides written statement for file to confirm the need**Approval confirmed by SENCo; AAO approval for both arrangements not required**Achievement leader discussion with candidate to confirm the arrangements should be put in place**EO submits ‘Alternative site form’ for timetabled written exams to awarding body/bodies online through CAP* *EO provides candidate with exam timetable and JCQ information for candidates**Achievement leader confirms with candidate the information is understood**EO allocates invigilator(s) to candidate’s timetable; confirms time of collection of exam papers and materials**Invigilator monitors candidate’s condition for each exam and records any issues on incident log**Invigilator records supervised rest breaks (time and duration) on incident log and confirms full time given for exam**Invigilator briefs EO after each exam on how candidate’s performance in exam may have been affected by his/her condition**EO discusses with Achievement leader if candidate is eligible for special consideration (candidate present but disadvantaged)**EO processes request(s) for special consideration where applicable; incident log(s) provides supporting evidence, supported by appropriate evidence signed by a member of the senior leadership team (Evidence retained until after the publication of results)**Achievement leader informs candidate that special consideration has been requested* |
| Persistent and significant difficulties in accessing written text  | Reader/reading pen25% Extra time Alternative rooming arrangements | *Confirms candidate is disabled within the meaning of the Equality Act 2010* *Papers checked for those testing reading**Reader/examination reading pen sourced for use in papers (or sections of papers) testing reading OR up to 50% extra time awarded* *AAO application for approval processed**Supporting evidence, AAO approval and signed candidate personal data consent form and completed Data protection confirmation by the examinations officer or SENCo form kept on file* |
| Significant difficulty in concentrating | PrompterAlternative rooming arrangements | *Gathers evidence to support substantial and long term adverse impairment**AAO application for approval processed**Supporting evidence, AAO approval and signed candidate personal data consent form and completed Data protection confirmation by the examinations officer or SENCo form kept on file**Confirms with candidate how and when they will be prompted**Briefs invigilator to monitor candidate and the method of prompting (call out his name to bring his attention back to the paper – confirms requirement for alternative room)* |
| A wheelchair user | DeskRoomsFacilitiesSeating arrangementsPractical assistant | *Applies for practical assistant to help candidate set up wheelchair and other equipment in a practical assessment; approval automatically fails so awarding body referral lists the tasks that will be performed* *Supporting evidence, AAO approval and signed candidate personal data consent form and completed Data protection confirmation by the examinations officer or SENCo form kept on file**Provides height adjustable desk in exam room**Allocates exam room on ground floor near adapted bathroom facilities**Spaces desks to allow wheelchair access**Seats candidate near exam room door**Confirms arrangements in place to assist the candidate in case of emergency evacuation of the exam room**Practical assistant cover sheet printed from AAO; to be completed by facilitator and inserted inside the candidate’s work where this may be applicable to the assessment* |